

Directorate	Service Area	Audit Area	Audit Scope	Grading	Progress / Comments
Commercial	Customer Services	Winter Support Fund C/fwd	To determine the effectiveness of the internal controls operating in respect of the Winter Support Fund.	Full Assurance	Final report issued, one weakness was identified classified as Low Risk. The Manager has agreed an appropriate action to mitigate the weakness identified.
	Workforce Management	Exit Interview Follow Up C/fwd	Follow up review to determine the action taken on the weaknesses made as a result of the audit.	Not Applicable	A review commenced in January 2023 to ensure the 7 weaknesses agreed as a result of the audit had been implemented. 5 weaknesses had been fully implemented and 2 had been partly implemented during the review.
	Legal and Corporate Compliance	Information Management C/fwd	To determine the effectiveness of the internal controls operating in respect of Information Management.	Reasonable Assurance	Final report issued, 6 weaknesses were identified of which 4 were classified as Medium Risk and 2 were classified as Low Risk. The Manager has agreed an appropriate action plan to mitigate all the weaknesses identified.
	Procurement	Purchase Card Scheme C/fwd	To determine the effectiveness of the internal controls operating within the Purchase Card Scheme.	Limited Assurance	Final report issued, 13 weaknesses were identified of which 12 were classified as High Risk and 1 was Low Risk. The Manager has agreed an appropriate action plan to mitigate all weaknesses identified. The Final report is attached at Appendix B2
Education	Education Transformation	School Systems	Internal Audit review of the Control Risk Self Assessment (CRSA) programme issued to all schools.	Not yet determined	A review commenced in April 2023. Audit testing is ongoing.
	Education Transformation	Out of County Education Placements	To determine the effectiveness of the internal controls operating in respect of Out of County Education Placements.	Not yet determined	A review commenced in June 2023. Audit testing ongoing.
	Education Transformation	Youth Support Grant (Annual Audit)	Internal Audit review to ensure compliance with the grant terms and conditions including signing the Grant Certificate for Welsh Government.	Not yet determined	A review commenced in May 2023. Draft report being prepared.
Governance and Partnerships	Partnership and Policy	Decarbonisation C/fwd	To determine the effectiveness of the internal controls operating in respect of the Decarbonisation Policy and processes.	Reasonable Assurance	Final report issued, 5 weaknesses were identified of which 1 was classified as High Risk, 2 were Medium Risk and 2 were Low Risk. The Manager has agreed an appropriate action plan to mitigate all the weaknesses identified.
Environment	Regeneration and Community Services	Temporary Accommodation C/fwd	To determine the effectiveness of the internal controls operating within Temporary Accommodation.	Limited Assurance	Final report issued, five weaknesses were identified of which 3 were classified as High Risk and 2 were Medium Risk. The Manager has agreed an appropriate action plan to mitigate all weaknesses identified. The Final report is attached at Appendix B1
	Regeneration and Community Services	Housing Options C/fwd	To determine the effectiveness of the internal controls operating within Housing Options.	Full Assurance	Final report issued, one weakness was identified classified as Medium Risk. The Manager has agreed an appropriate action plan to mitigate the weakness identified.
	Regeneration and Community Services	School Catering C/fwd	To determine the effectiveness of the internal controls operating in respect of School Catering.	Limited Assurance	Final report issued, 20 weaknesses were identified of which 12 were classified as High Risk, 7 were Medium Risk and 1 was Low Risk. The Manager has agreed an appropriate action plan to mitigate all weaknesses identified. The Final report is attached at Appendix B4
	Regeneration and Community Services	Universal Free School Meals C/fwd	To determine the effectiveness of the internal controls operating in respect of the Universal Free School Meals scheme.	Reasonable Assurance	Final report issued, 2 weaknesses were identified of which both were classified as Medium Risk. The Manager has agreed an appropriate action plan to mitigate all the weaknesses identified.
	Regeneration and Community Services	Enable Grant	Internal Audit review to ensure compliance with the grant terms and conditions including signing the Grant Certificate for Welsh Government.	Not yet determined	A review commenced in June 2023. Audit testing is ongoing.
	Regeneration and Community Services	Homelessness - No One Left Out Grant	Internal Audit review to ensure compliance with the grant terms and conditions including signing the Grant Certificate for Welsh Government.	Not yet determined	A review commenced in May 2023. Audit testing is ongoing.
	Regeneration and Community Services	Shared Prosperity Fund	To determine the effectiveness of the internal controls operating in respect of the Service Level Agreement for the Shared Prosperity Fund.	Not yet determined	A review commenced in June 2023. Audit testing ongoing.
	Regeneration and Community Services	Disabled Facility Grants (DFG's) C/fwd	To determine the effectiveness of the internal controls operating in respect of Disabled Facility Grants.	Full Assurance	Final report issued, 1 weakness was identified classified as Medium Risk. The Manager has agreed an appropriate action plan to mitigate the weakness identified.
Resources	Financial Services	Education Improvement Grant (RCSIG)	Internal Audit review to ensure compliance with the grant terms and conditions including signing the Grant Certificate for Welsh Government.	Not yet determined	A review commenced in May 2023. Audit testing ongoing.
	Financial Services	Pupil Development Grant	Internal Audit review to ensure compliance with the grant terms and conditions including signing the Grant Certificate for Welsh Government.	Not yet determined	A review commenced in May 2023. Audit testing ongoing.
	Financial Services	Petty Cash C/fwd	To determine the effectiveness of the internal controls operating in respect of Petty Cash Accounts.	Not yet determined	Draft report issued June 2023. A meeting has been arranged to agree an action plan.
	Financial Services	Leasing C/fwd	To determine the effectiveness of the internal controls operating in respect of Leasing Arrangements.	Reasonable Assurance	Final report issued, five weaknesses were identified of which 3 were classified as High Risk and 2 were Medium Risk. The Manager has agreed an appropriate action plan to mitigate all weaknesses identified.
	Revenue Services	Social Services Debtors	To determine the effectiveness of the internal controls operating in respect of Social Services Debtors.	Not yet determined	A review commenced in May 2023. Audit testing ongoing.
	Revenue Services	Cost of Living Grant C/fwd	To determine the effectiveness of the internal controls operating in respect of the Cost of Living Grant.	Full Assurance	Final report issued, no weaknesses were identified.
Social Services	Adult Services - Development & Commissioning	Supporting People Grant (RDC Post)	Internal Audit review to ensure compliance with the grant terms and conditions including signing the Grant Certificate for Welsh Government.	Not yet determined	A review commenced in May 2023. Audit testing ongoing.
	Adult Services - Development & Commissioning	Supporting People Grant New Combined	Internal Audit review to ensure compliance with the grant terms and conditions including signing the Grant Certificate for Welsh Government.	Not yet determined	A review commenced in May 2023. Audit testing ongoing.
	Adult Services - Development & Commissioning	Domiciliary Care	To determine the effectiveness of the internal controls operating in respect of Internal Domiciliary Care.	Not yet determined	A review commenced in June 2023. Audit testing ongoing.
	Adult Services - Provider Services	Cwrt Mytton C/fwd	To determine the effectiveness of the internal controls operating in Cwrt Mytton.	Reasonable Assurance	Final report issued, 5 weaknesses were identified of which 2 were classified as High Risk and 3 were classified as Medium Risk. The Manager has agreed an appropriate action plan to mitigate all the weaknesses identified.
	Families First and Placement	Childrens' External Placements/Fostering C/fwd	To determine the effectiveness of the internal controls operating in respect of Childrens External Residential Placements.	Limited Assurance	Final report issued, 7 weaknesses were identified of which 5 were classified as High Risk and 2 were Medium Risk. The Manager has agreed an appropriate action plan to mitigate all weaknesses identified. The Final report is attached at Appendix B3